



College of Information Studies

University of Maryland Hornbake Library Building College Park, MD 20742-4345

TCP

Session 22

INST 346

Technologies, Infrastructure and Architecture

Improving Support for Learning

- Less lecture, more discussion, slow down
- More examples and lab-style homework
- In-class activities
- Discuss homework and quizzes in class
- Solicit topics in advance for exam review
- ~~More readings (!)~~
- Jump around less on the slides
- More extensive exam study guide
- More quiz questions (!)

Goals

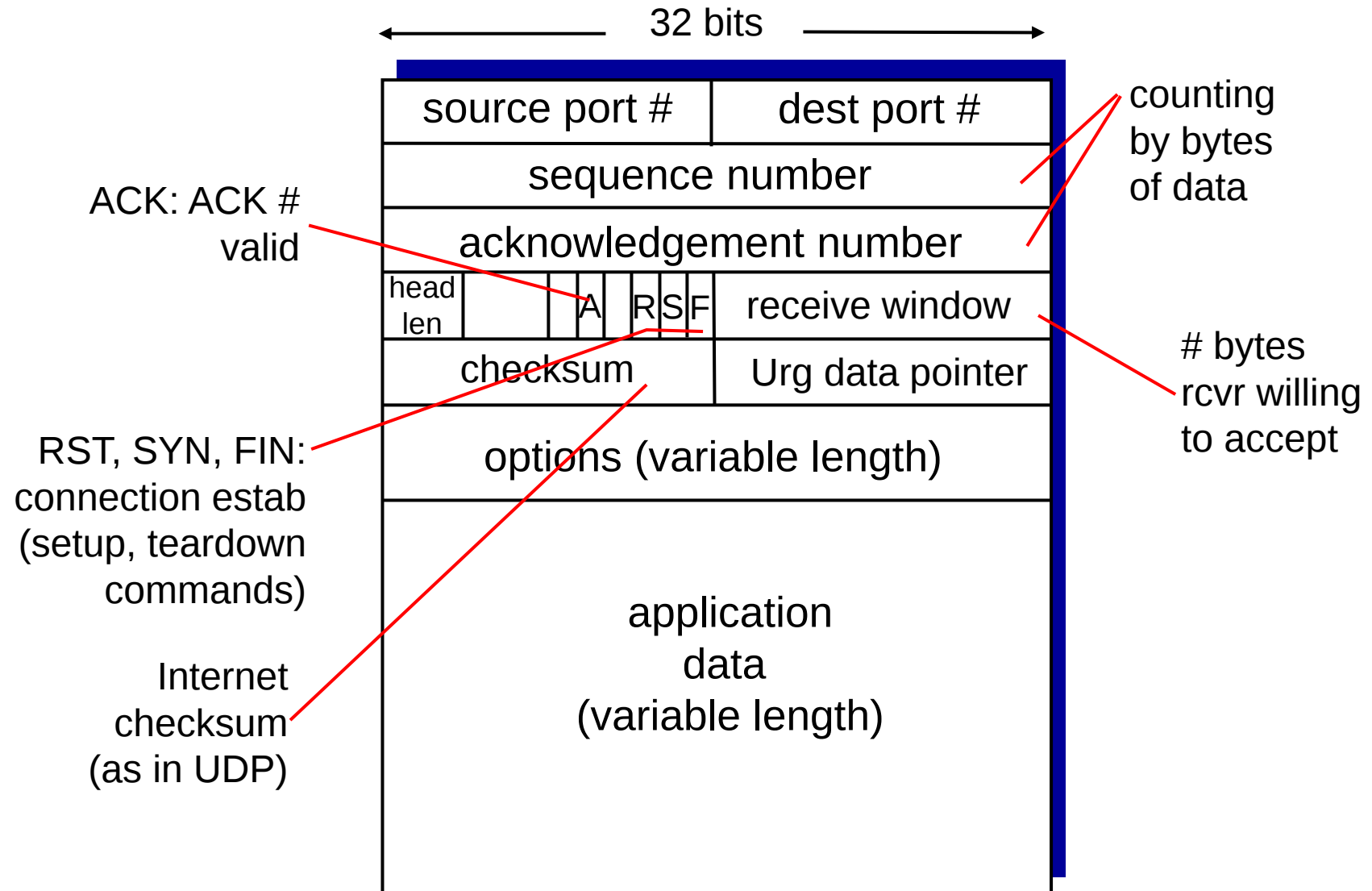
- TCP
 - Connection Setup
 - Reliable Transfer
 - Timeout Setting
 - Flow Control
 - Disconnection
- Maybe: BGP

TCP: Overview

RFCs: 793, 1122, 1323, 2018, 2581

- **point-to-point:**
 - one sender, one receiver
- **reliable, in-order *byte stream*:**
 - no “message boundaries”
- **pipelined:**
 - TCP congestion and flow control set window size
- **full duplex data:**
 - bi-directional data flow in same connection
 - MSS: maximum segment size
- **connection-oriented:**
 - handshaking (exchange of control msgs) initializes sender, receiver state before data exchange
- **flow controlled:**
 - sender will not overwhelm receiver

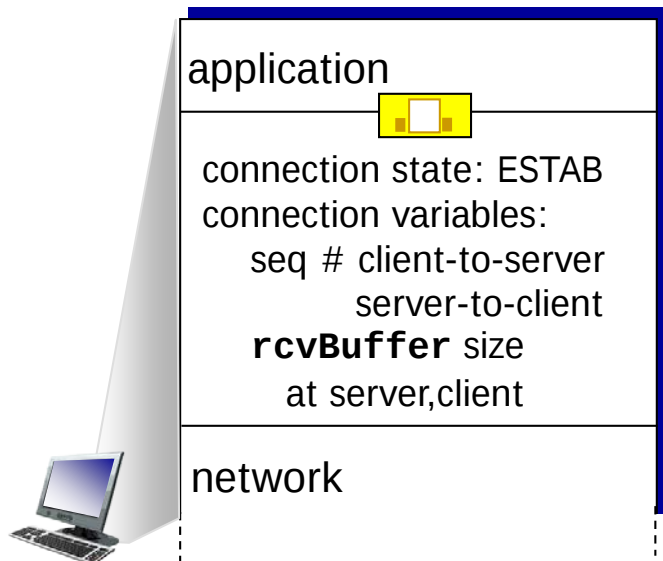
TCP segment structure



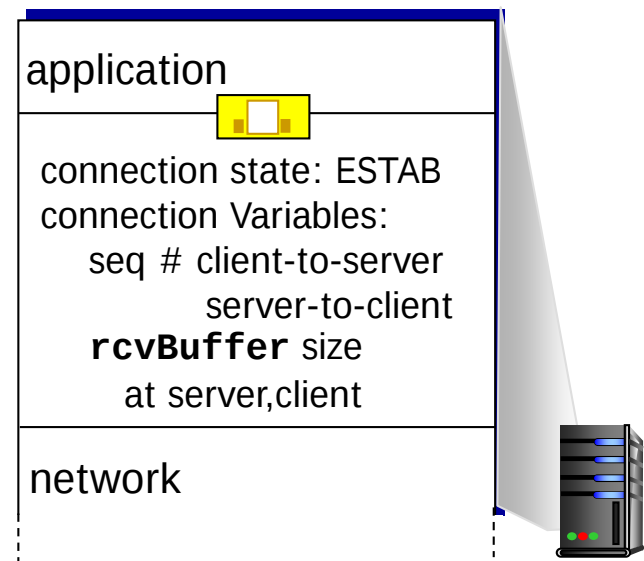
Connection Management

before exchanging data, sender/receiver “handshake”:

- agree to establish connection (each knowing the other willing to establish connection)
- agree on connection parameters



```
Socket clientSocket =  
    newSocket("hostname", "port  
    number");
```



```
Socket connectionSocket =  
    welcomeSocket.accept();
```

TCP 3-way handshake

client state

LISTEN

SYNSENT

ESTAB

choose init seq num, x
send TCP SYN msg

received SYNACK(x)
indicates server is live;
send ACK for SYNACK;
this segment may contain
client-to-server data



server state

LISTEN

SYN RCVD

ESTAB

SYNbit=1, Seq=x

SYNbit=1, Seq=y
ACKbit=1; ACKnum=x+1

ACKbit=1, ACKnum=y+1

choose init seq num, y
send TCP SYNACK
msg, acking SYN

received ACK(y)
indicates client is live

TCP sender events:

data rcvd from app:

- create segment with seq #
- seq # is byte-stream number of first data byte in segment
- start timer if not already running
 - think of timer as for oldest unacked segment
 - expiration interval: `TimeoutInterval`

timeout:

- retransmit segment that caused timeout
- restart timer

ack rcvd:

- if ack acknowledges previously unacked segments
 - update what is known to be ACKed
 - start timer if there are still unacked segments

TCP seq. numbers, ACKs

sequence numbers:

- byte stream “number” of first byte in segment’s data

acknowledgements:

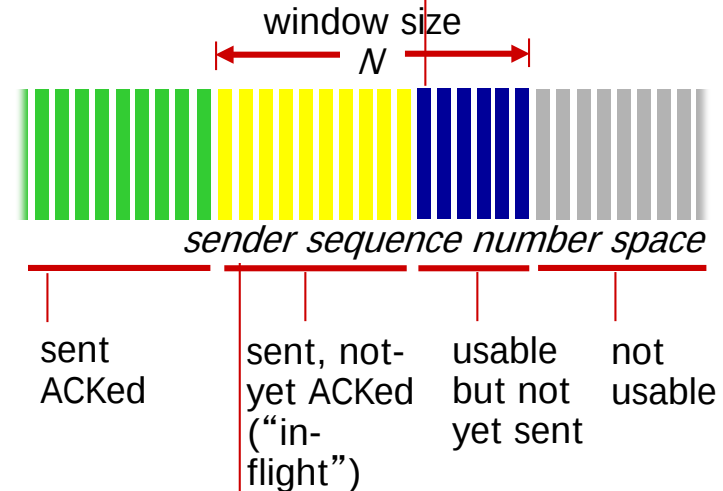
- seq # of next byte expected from other side
- cumulative ACK

Q: how receiver handles out-of-order segments

- **A:** TCP spec doesn’t say,
- up to implementor

outgoing segment from sender

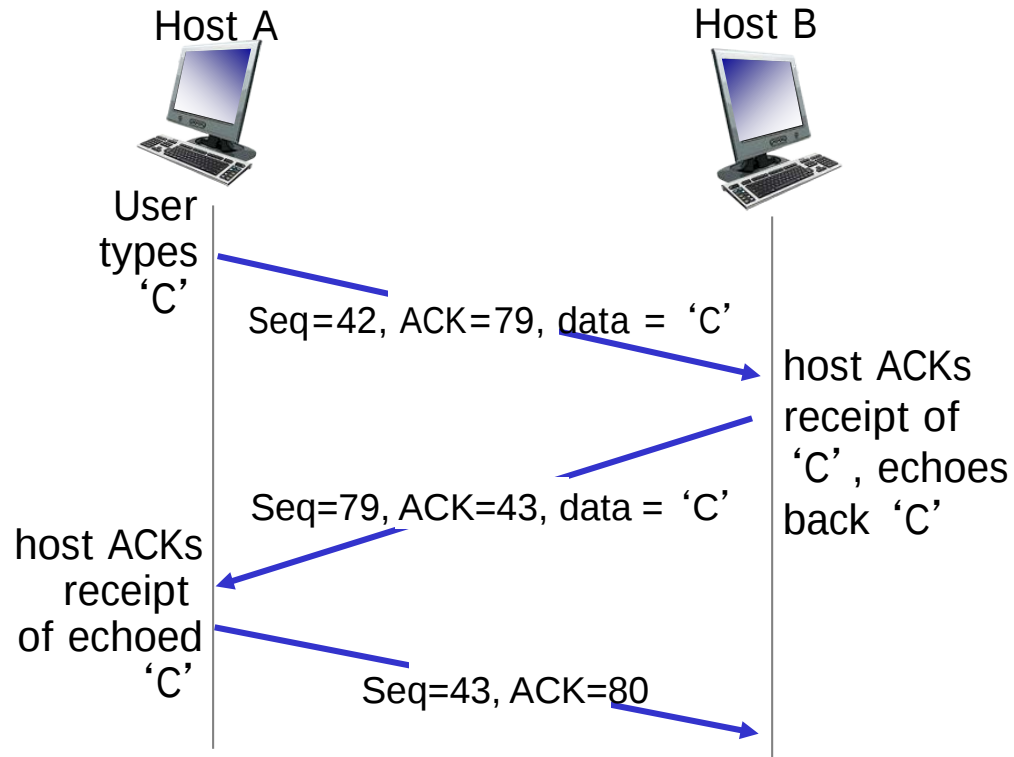
source port #	dest port #
sequence number	
acknowledgement number	
	rwnd
checksum	urg pointer



incoming segment to sender

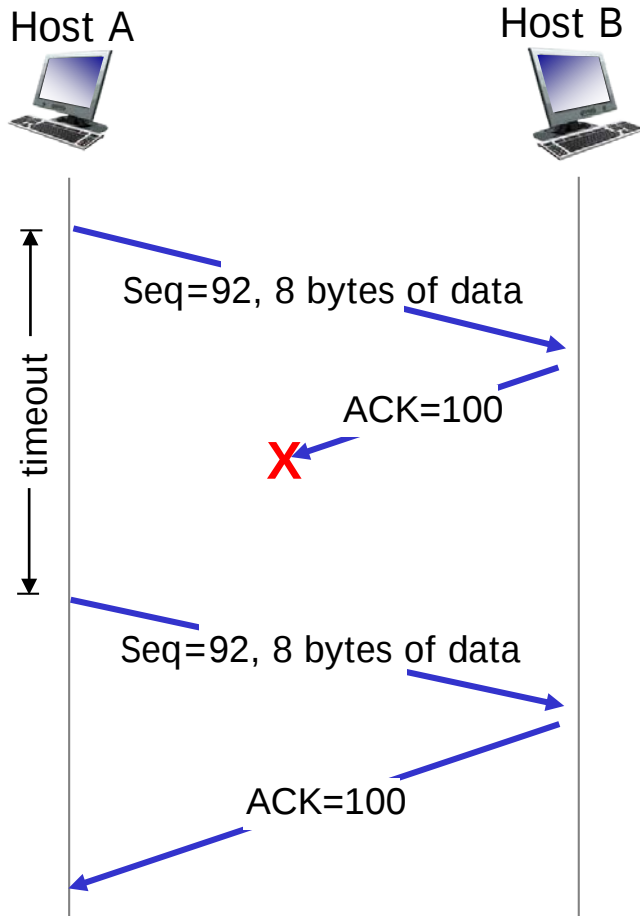
source port #	dest port #
sequence number	
acknowledgement number	
	A
checksum	urg pointer

TCP seq. numbers, ACKs

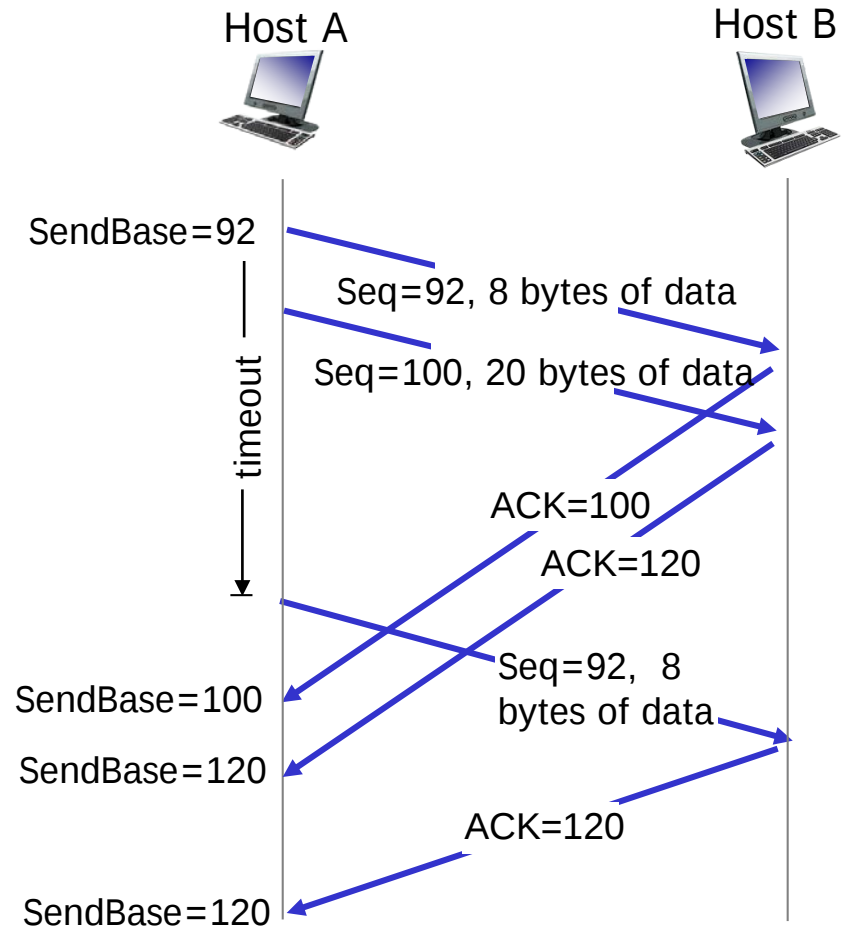


simple telnet scenario

TCP: retransmission scenarios

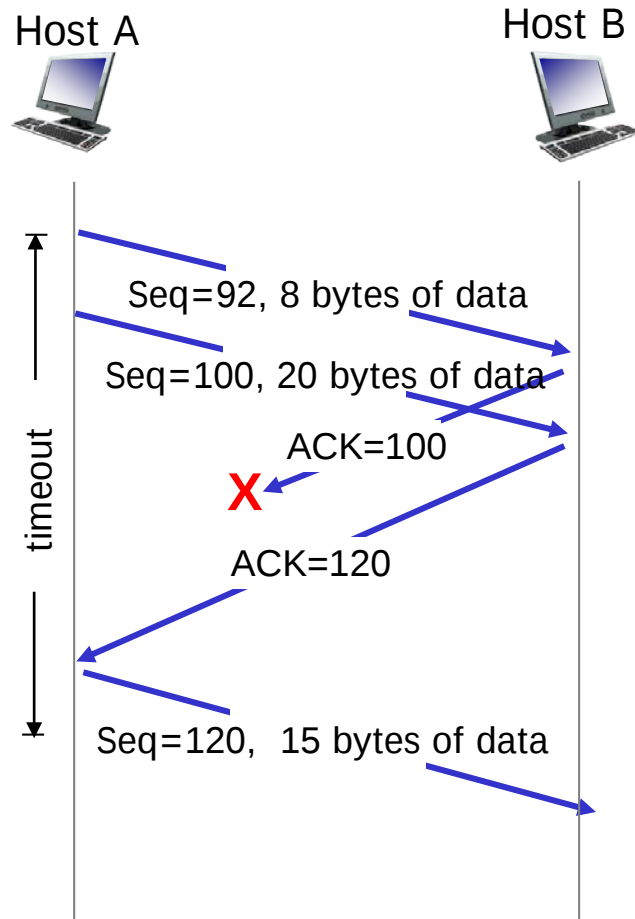


lost ACK scenario



premature timeout

TCP: retransmission scenarios



cumulative ACK

TCP ACK generation [RFC 1122, RFC 2581]

<i>event at receiver</i>	<i>TCP receiver action</i>
arrival of in-order segment with expected seq #. All data up to expected seq # already ACKed	delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
arrival of in-order segment with expected seq #. One other segment has ACK pending	immediately send single cumulative ACK, ACKing both in-order segments
arrival of out-of-order segment higher-than-expect seq. # . Gap detected	immediately send <i>duplicate ACK</i> , indicating seq. # of next expected byte
arrival of segment that partially or completely fills gap	immediate send ACK, provided that segment starts at lower end of gap

TCP fast retransmit

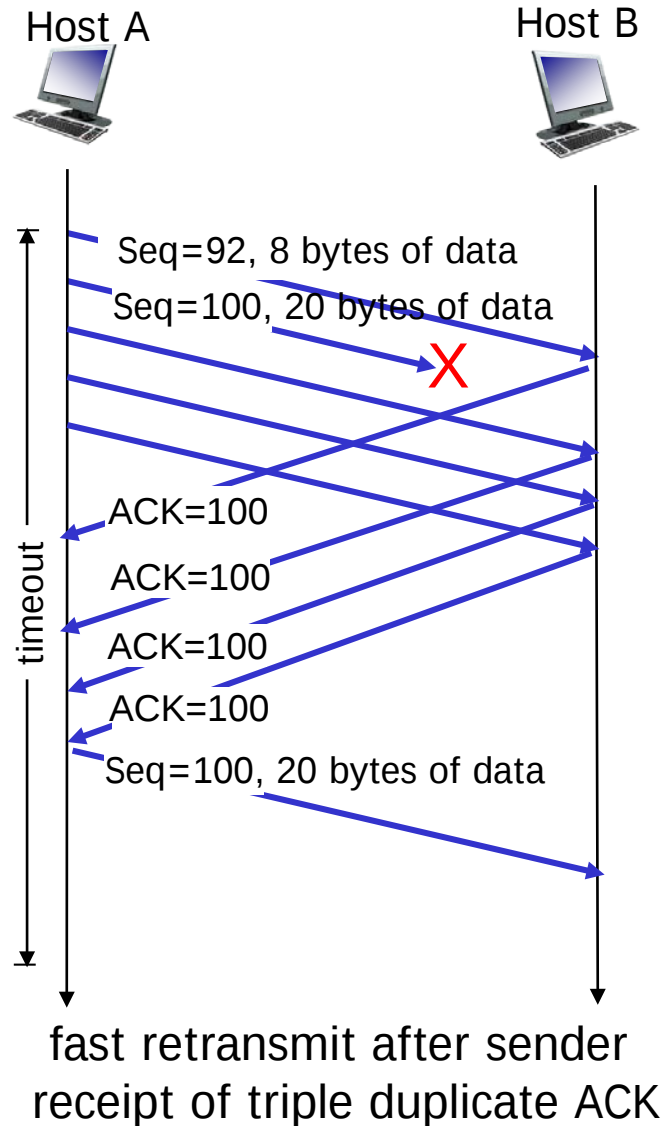
- time-out period often relatively long:
 - long delay before resending lost packet
- detect lost segments via duplicate ACKs.
 - sender often sends many segments back-to-back
 - if segment is lost, there will likely be many duplicate ACKs.

TCP fast retransmit

if sender receives 3 ACKs for same data (“triple duplicate ACKs”), resend unacked segment with smallest seq #

- likely that unacked segment lost, so don't wait for timeout

TCP fast retransmit



TCP round trip time, timeout

Q: how to set TCP timeout value?

- longer than RTT
 - but RTT varies
- *too short*: premature timeout, unnecessary retransmissions
- *too long*: slow reaction to segment loss

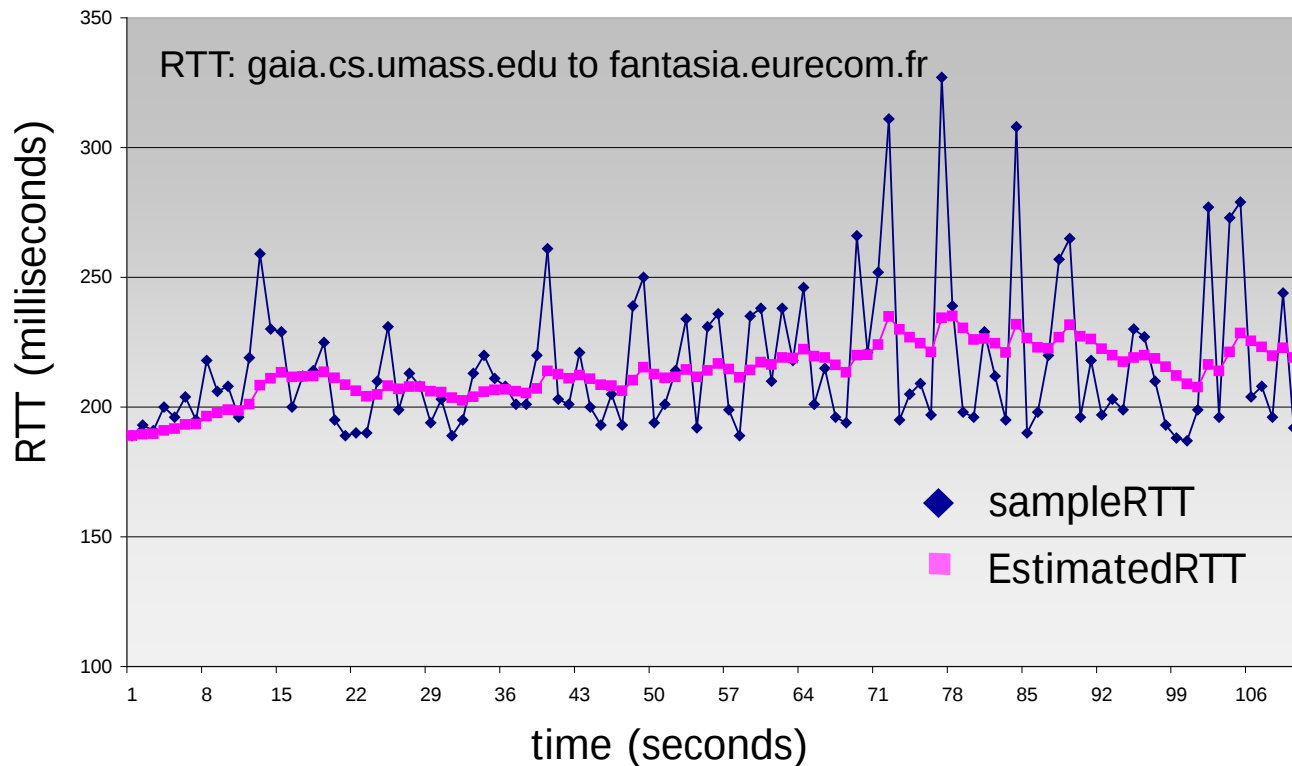
Q: how to estimate RTT?

- **SampleRTT**: measured time from segment transmission until ACK receipt
 - ignore retransmissions
- **SampleRTT** will vary, want estimated RTT “smoother”
 - average several *recent* measurements, not just current **SampleRTT**

TCP round trip time, timeout

$$\text{EstimatedRTT} = (1 - \alpha) * \text{EstimatedRTT} + \alpha * \text{SampleRTT}$$

- exponential weighted moving average
- influence of past sample decreases exponentially fast
- typical value: $\alpha = 0.125$



TCP round trip time, timeout

- **timeout interval:** **EstimatedRTT** plus “safety margin”
 - large variation in **EstimatedRTT** -> larger safety margin
- estimate SampleRTT deviation from EstimatedRTT:
$$\text{DevRTT} = (1-\beta) * \text{DevRTT} + \beta * |\text{SampleRTT} - \text{EstimatedRTT}|$$

(typically, $\beta = 0.25$)

$$\text{TimeoutInterval} = \text{EstimatedRTT} + 4 * \text{DevRTT}$$



↑
estimated RTT

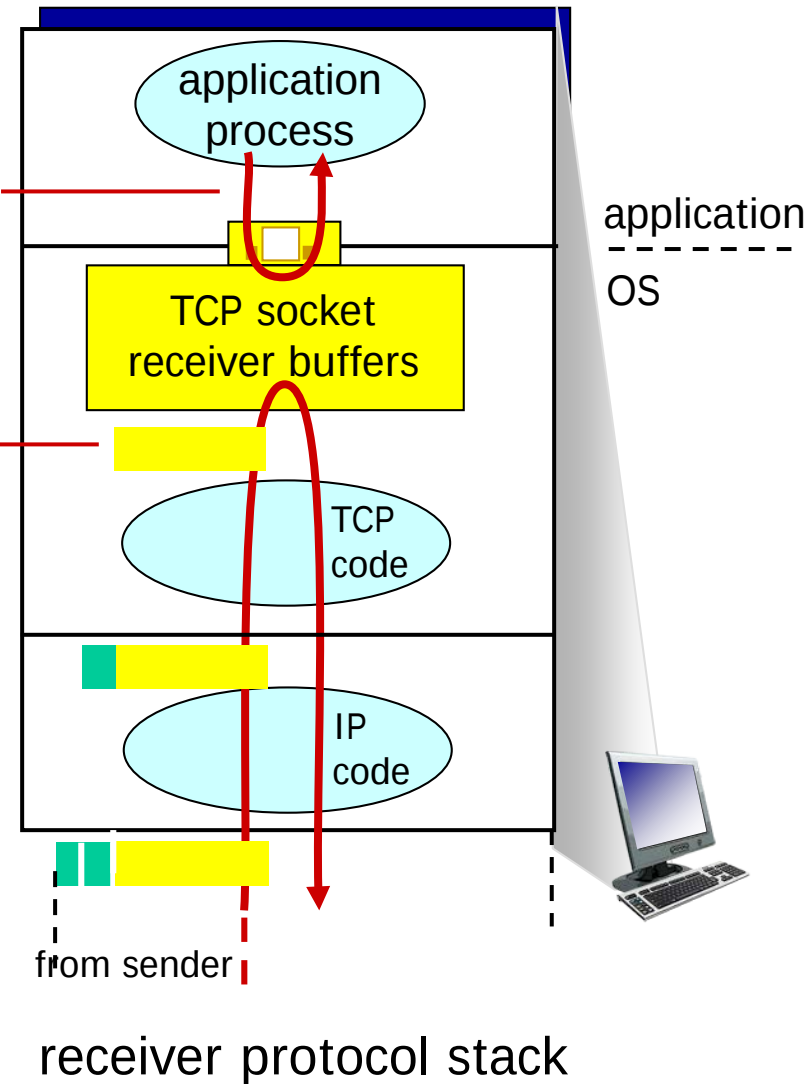
↑
“safety margin”

TCP flow control

application may
remove data from
TCP socket buffers

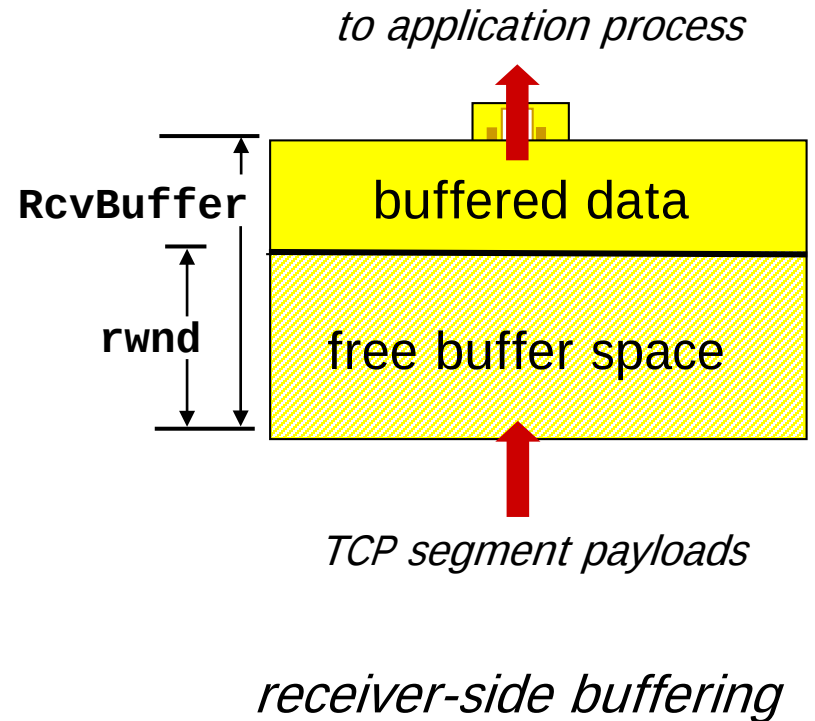
... slower than TCP
receiver is delivering
(sender is sending)

flow control
receiver controls sender, so
sender won't overflow
receiver's buffer by transmitting
too much, too fast



TCP flow control

- receiver “advertises” free buffer space by including **rwnd** value in TCP header of receiver-to-sender segments
 - **RcvBuffer** size set via socket options (typical default is 4096 bytes)
 - many operating systems autoadjust **RcvBuffer**
- sender limits amount of unacked (“in-flight”) data to receiver’s **rwnd** value
- guarantees receive buffer will not overflow



TCP: closing a connection

- client, server each close their side of connection
 - send TCP segment with FIN bit = 1
- respond to received FIN with ACK
 - on receiving FIN, ACK can be combined with own FIN
- simultaneous FIN exchanges can be handled

TCP: closing a connection

client state

ESTAB

`clientSocket.close()`

FIN_WAIT_1

can no longer
send but can
receive data

FIN_WAIT_2

wait for server
close

TIMED_WAIT

timed wait
for $2 \times \text{max}$
segment lifetime

CLOSED



FINbit=1, seq=x

ACKbit=1; ACKnum=x+1

FINbit=1, seq=y

ACKbit=1; ACKnum=y+1

can still
send data

can no longer
send data

server state

ESTAB

CLOSE_WAIT

LAST_ACK

CLOSED

Inter-AS routing is different

policy:

- intra-AS: single admin, so single consistent policy
- inter-AS: each admin wants control over how its traffic routed and who routes through its AS

performance:

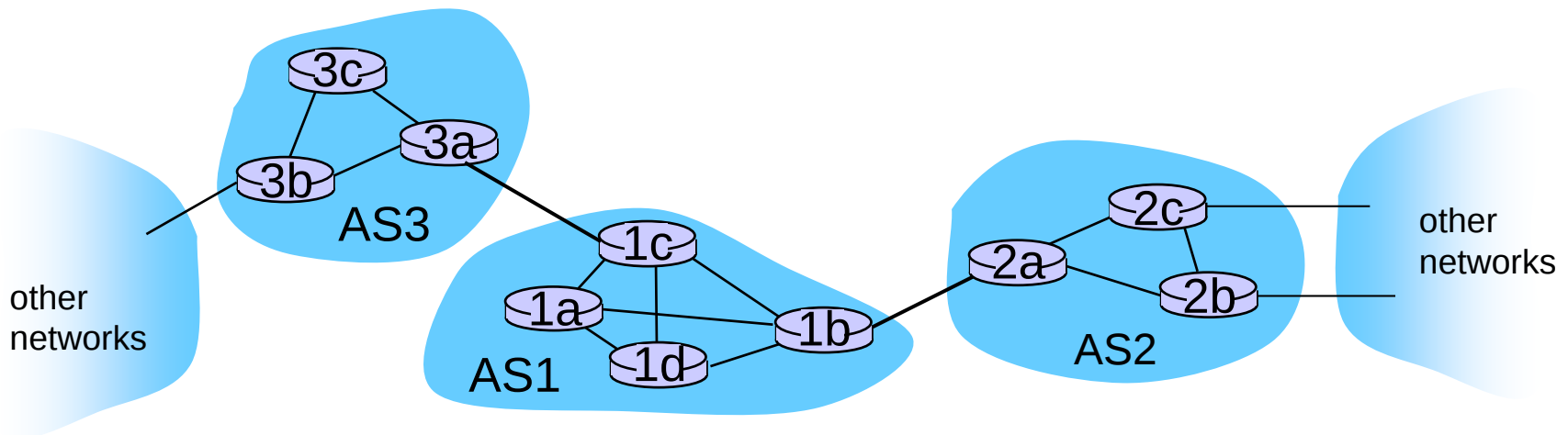
- intra-AS: can focus on performance
- inter-AS: policy may dominate over performance

Inter-AS tasks

- suppose router in AS1 receives datagram destined outside of AS1:
 - router should forward packet to gateway router, but which one?

AS1 must:

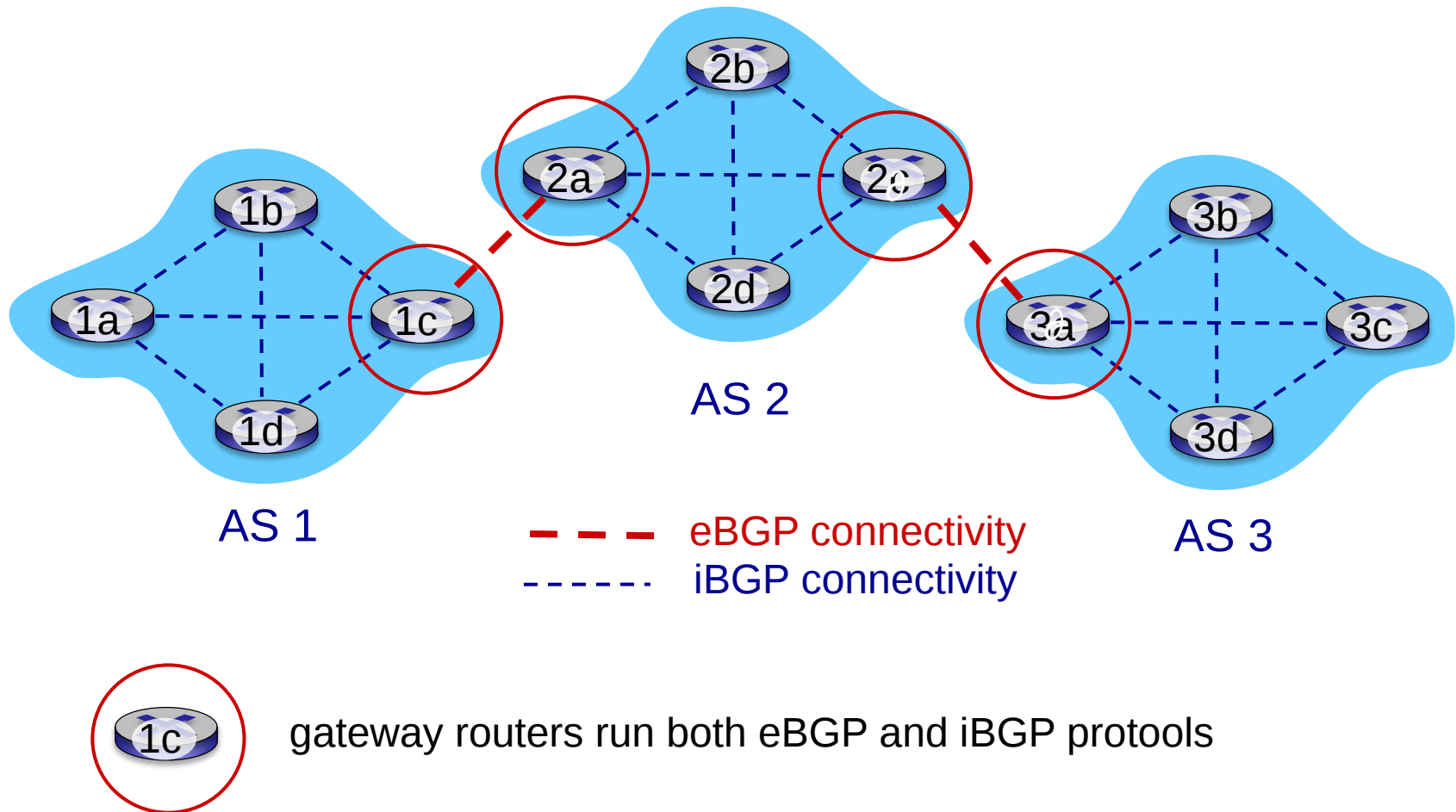
1. learn which destds are reachable through AS2, which through AS3
2. propagate this reachability info to all routers in AS1



Internet inter-AS routing: BGP

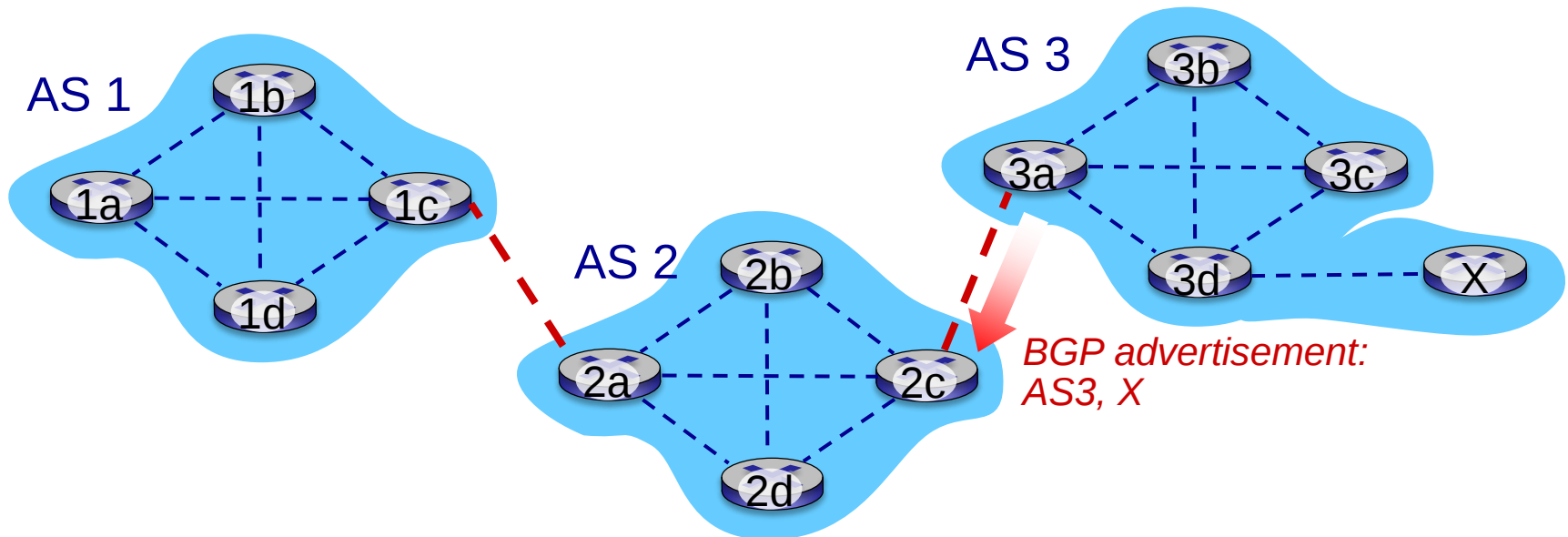
- **BGP (Border Gateway Protocol):** *the de facto inter-domain routing protocol*
 - “glue that holds the Internet together”
- BGP provides each AS a means to:
 - **eBGP:** obtain subnet reachability information from neighboring ASes
 - **iBGP:** propagate reachability information to all AS-internal routers.
 - determine “good” routes to other networks based on reachability information and *policy*
- allows subnet to advertise its existence to rest of Internet: *“I am here”*

eBGP, iBGP connections



BGP basics

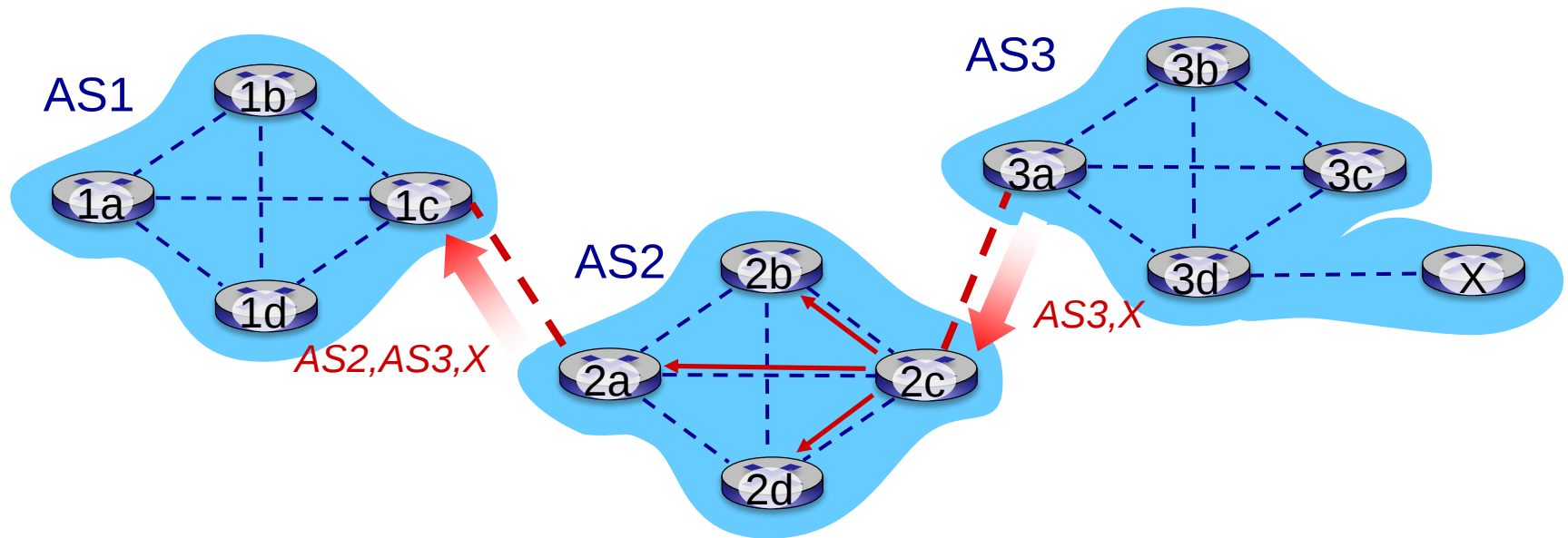
- **BGP session:** two BGP routers (“peers”) exchange BGP messages over semi-permanent TCP connection:
 - advertising *paths* to different destination network prefixes (BGP is a “path vector” protocol)
- when AS3 gateway router 3a advertises path **AS3,X** to AS2 gateway router 2c:
 - AS3 *promises* to AS2 it will forward datagrams towards X



Path attributes and BGP routes

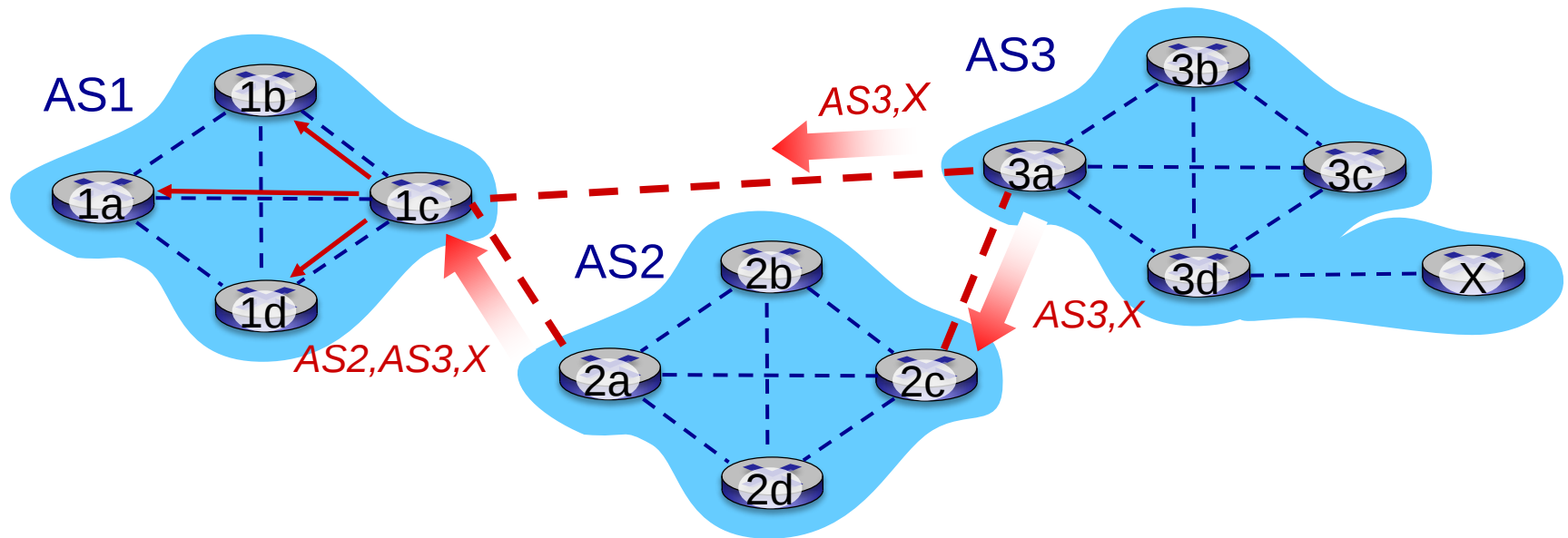
- advertised prefix includes BGP attributes
 - prefix + attributes = “route”
- two important attributes:
 - **AS-PATH**: list of ASes through which prefix advertisement has passed
 - **NEXT-HOP**: indicates specific internal-AS router to next-hop AS
- *Policy-based routing*:
 - gateway receiving route advertisement uses *import policy* to accept/decline path (e.g., never route through AS Y).
 - AS policy also determines whether to *advertise* path to other other neighboring ASes

BGP path advertisement



- AS2 router 2c receives path advertisement **AS3,X** (via eBGP) from AS3 router 3a
- Based on AS2 policy, AS2 router 2c accepts path AS3,X, propagates (via iBGP) to all AS2 routers
- Based on AS2 policy, AS2 router 2a advertises (via eBGP) path **AS2, AS3,X** to AS1 router 1c

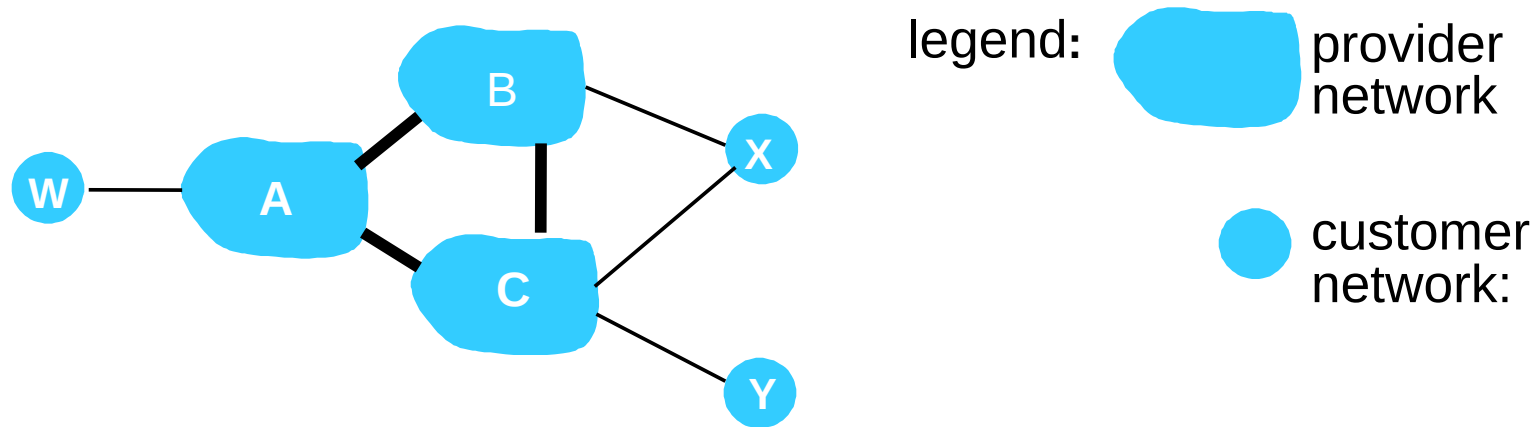
BGP path advertisement



gateway router may learn about **multiple** paths to destination:

- AS1 gateway router 1c learns path **AS2,AS3,X** from 2a
- AS1 gateway router 1c learns path **AS3,X** from 3a
- Based on policy, AS1 gateway router 1c chooses path **AS3,X**, and *advertises path within AS1 via iBGP*

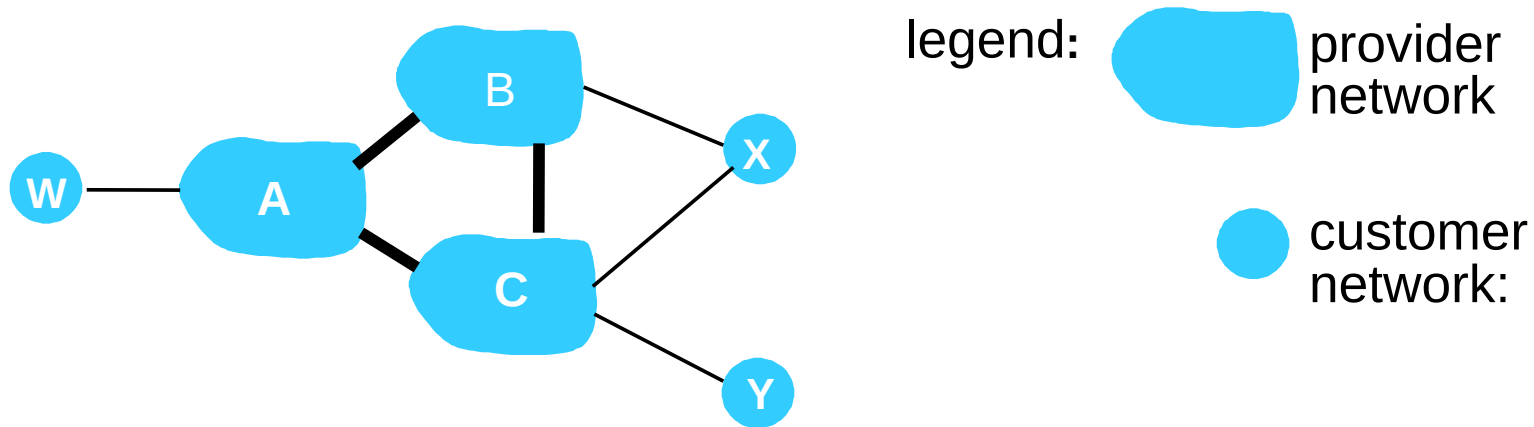
BGP: achieving policy via advertisements



Suppose an ISP only wants to route traffic to/from its customer networks (does not want to carry transit traffic between other ISPs)

- A advertises path Aw to B and to C
- B *chooses not to advertise* BAw to C:
 - B gets no “revenue” for routing CBAw, since none of C, A, w are B’s customers
 - C does not learn about CBAw path
- C will route CAw (not using B) to get to w

BGP: achieving policy via advertisements



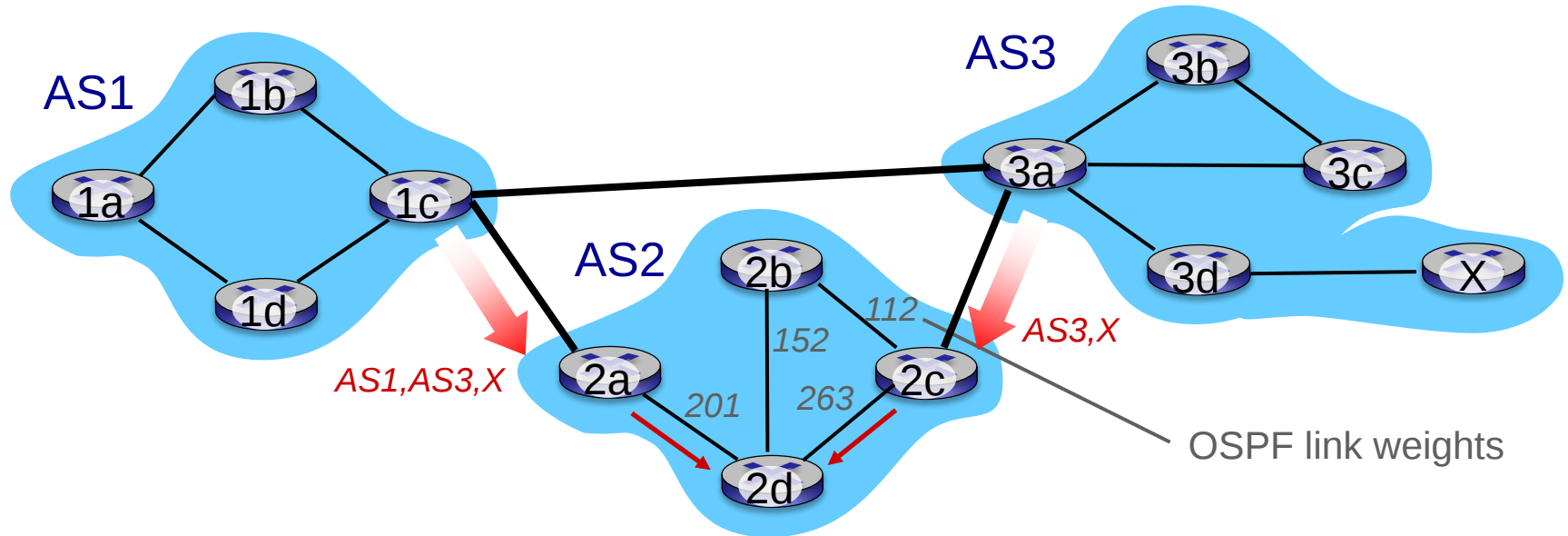
Suppose an ISP only wants to route traffic to/from its customer networks (does not want to carry transit traffic between other ISPs)

- A,B,C are *provider networks*
- X,W,Y are customer (of provider networks)
- X is *dual-homed*: attached to two networks
- *policy to enforce*: X does not want to route from B to C via X
 - .. so X will not advertise to B a route to C

BGP route selection

- router may learn about more than one route to destination AS, selects route based on:
 1. local preference value attribute (policy decision)
 2. shortest AS-PATH
 3. closest NEXT-HOP router (hot potato routing)
 4. additional criteria

Hot Potato Routing



- 2d learns (via iBGP) it can route to X via 2a or 2c
- *hot potato routing*: choose local gateway that has least intra-domain cost (e.g., 2d chooses 2a, even though more AS hops to X): don't worry about inter-domain cost!