Abstract

We introduce a reinforcement learning-based approach to simultaneous machine translation—producing a translation while receiving input words—between languages with drastically different word orders: from verb-final languages (e.g., German) to verb-medial languages (English). In traditional machine translation, a translator must “wait” for source material to appear before translation begins. We remove this bottleneck by predicting the final verb in advance. We use reinforcement learning to learn when to trust predictions about unseen, future portions of the sentence. We also introduce an evaluation metric to measure expeditiousness and quality. We show that our new translation model outperforms batch and monotone translation strategies.

1 Introduction

We introduce a simultaneous machine translation (MT) system that predicts unseen verbs and uses reinforcement learning to learn when to trust these predictions and when to wait for more input.

Simultaneous translation is producing a partial translation of a sentence before the input sentence is complete, and is often used in important diplomatic settings. One of the first noted uses of human simultaneous interpretation was the Nuremberg trials after the Second World War. Siegfried Ramlar (2009), the Austrian-American who organized the translation teams, describes the linguistic predictions and circumlocutions that translators would use to achieve a tradeoff between translation latency and accuracy. The audio recording technology used by those interpreters sowed the seeds of technology-assisted interpretation at the United Nations (Gaiba, 1998).

Performing real-time translation is especially difficult when information that comes early in the target language (the language you’re translating to) comes late in the source language (the language you’re translating from). A common example is when translating from a verb-final (sov) language (e.g., German or Japanese) to a verb-medial (svo) language, (e.g., English). In the example in Figure 1, for instance, the main verb of the sentence (in bold) appears at the end of the German sentence. An offline (or “batch”) translation system waits until the end of the sentence before translating anything. While this is a reasonable approach, it has obvious limitations. Real-time, interactive scenarios—such as online multilingual video conferences or diplomatic meetings—require comprehensible partial interpretations before a sentence ends. Thus, a significant goal in interpretation is to make the translation as expeditious as possible.

We present three components for an sov-to-svo simultaneous MT system: a reinforcement learning framework that uses predictions to create expeditious translations (Section 2), a system to predict how a sentence will end (e.g., predicting the main verb; Section 4), and a metric that balances quality and expeditiousness (Section 3). We combine these components in a framework that learns when to begin translating sections of a sentence (Section 5).

Section 6 combines this framework with a
Figure 1: An example of translating from a verb-final language to English. The verb, in bold, appears at the end of the sentence, preventing coherent translations until the final source word is revealed.

To compare the system to a human translator in a decision-making process, the state is akin to the translator’s cognitive state. At any given time, we have knowledge (observations) and beliefs (predictions) with varying degrees of certainty: that is, the state contains the revealed words \( x_{1:t} \) of a sentence; the state also contains predictions about the remainder of the sentence: we predict the next word in the sentence and the final verb.

More formally, we have a prediction at time \( t \) of the next source language word that will appear, \( n_{t+1}^{(t)} \), and for the final verb, \( v^{(t)} \). For example, given the partial observation “ich bin mit dem”, the state might contain a prediction that the next word, \( n_{t+1}^{(t)} \), will be “Zug” and that the final verb \( v^{(t)} \) will be “gefahren”.

We discuss the mechanics of next-word and verb prediction further in Section 4; for now, consider these black boxes which, after observing every new source word \( x_t \), make predictions of future words in the source language. This representation of the state allows for a richer set of actions, described below, permitting simultaneous translations that outpace the source language input by predicting the future.

2 Decision Process for Simultaneous Translation

Human interpreters learn strategies for their profession with experience and practice. As words in the source language are observed, a translator—human or machine—must decide whether and how to translate, while, for certain language pairs, simultaneously predicting future words. We would like our system to do the same. To this end, we model simultaneous MT as a Markov decision process (MDP) and use reinforcement learning to effectively combine predicting, waiting, and translating into a coherent strategy.

2.1 States: What is, what is to come

The state \( s_t \) represents the current view of the world given that we have seen \( t \) words of a source language sentence.\(^1\) The state contains information both about what is known and what is predicted based on what is known.

\(^1\)We use \( t \) to evoke a discrete version of time. We only allow actions after observing a complete source word.
Figure 2: A simultaneous translation from source (German) to target (English). The agent chooses to wait until after (3). At this point, it is sufficiently confident to predict the final verb of the sentence (4). Given this additional information, it can now begin translating the sentence into English, constraining future translations (5). As the rest of the sentence is revealed, the system can translate the remainder of the sentence.

**Next Word** The next word action takes a prediction of the next source word and produces an updated translation based on that prediction, i.e., appending the predicted word to the source sentence and translating the new sentence.

**Verb** Our system can also predict the source sentence’s final verb (the last word in the sentence). When our system takes the verb action, it uses its verb prediction to update the translation using the prediction, by placing it at the end of the source sentence.

We can recreate a traditional batch translation system (interpreted temporally) by a sequence of wait actions until all input is observed, followed by a commit to the complete translation. Our system can commit to partial translations if it is confident, but producing a good translation early in the sentence often depends on missing information.

**2.3 Translation Process**

Having described the state, its components, and the possible actions at a state, we present the process in its entirety. In Figure 2, after each German word is received, the system arrives at a new state, which consists of the source input, target translation so far, and predictions of the unseen words. The translation system must then take an action given information about the current state. The action will result in receiving and translating more source words, transitioning the system to the next state. In the example, for the first few source-language words, the translator lacks the confidence to produce any output due to insufficient information at the state. However, after State 3, the state shows high confidence in the predicted verb “gefahren”. Combined with the German input it has observed, the system is sufficiently confident to act on that prediction to produce English translation.

**2.4 Consensus Translations**

Three straightforward actions—commit, next word, and verb—all produce translations. These rely black box access to a translation (discussed in detail in Section 6): that is, given a source language sentence fragment, the translation system produces a target language sentence fragment.

Because these actions can happen more than once in a sentence, we must form a single consensus translation from all of the translations that we might have seen. If we have only one translation or if translations are identical, forming the consensus translation is trivial. But how should we resolve conflicting translations?

Any time our system chooses an action that
produces output, the observed input (plus extra predictions in the case of next-word or verb), is passed into the translation system. That system then produces a complete translation of its input fragment.

Any new words—i.e., words whose target index is greater than the length of any previous translation—are appended to the previous translation.\(^3\) Table 1 shows an example of forming these consensus translations.

Now that we have defined how states evolve based on our system’s actions, we need to know how to select which actions to take. Eventually, we will formalize this as a learned policy (Section 5) that maps from states to actions. First, however, we need to define a reward that measures how “good” an action is.

### 3 Objective: What is a good simultaneous translation?

Good simultaneous translations must optimize two objectives that are often at odds, i.e., producing translations that are, in the end, accurate, and producing them in pieces that are presented expeditiously. While there are existing automated metrics for assessing translation quality (Papineni et al., 2002; Banerjee and Lavie, 2005; Snover et al., 2006), these must be modified to find the necessary compromise between translation quality and expeditiousness.

That is, a good metric for simultaneous translation must achieve a balance between translating chunks early and translating accurately. All else being equal, maximizing either goal in isolation is trivial: for accurate translations, use a batch system and wait until the sentence is complete, translating it all at once; for a maximally expeditious translation, create monotone translations, translating each word as it appears, as in Tillmann et al. (1997) and Pytlík and Yarowsky (2006). The former is not simultaneous at all: the latter is mere word-for-word replacement and results in awkward, often unintelligible translations of distant language pairs.

Once we have predictions, we have an expanded array of possibilities, however. On one extreme, we can imagine a psychic translator—

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\(^3\) Using constrained decoding to enforce consistent translation prefixes would complicate our method but is an appealing extension.

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one that can completely translate an imagined sentence after one word is uttered—as an unobtainable system. On the other extreme is a standard batch translator, which waits until it has access to the utterer’s complete sentence before translating anything.

Again, we argue that a system can improve on this by predicting unseen parts of the sentence to find a better tradeoff between these conflicting goals. However, to evaluate and optimize such a system, we must measure where a system falls on the continuum of accuracy versus expeditiousness.

Consider partial translations in a two-dimensional space, with time (quantized by the number of source words seen) increasing from left to right on the \(x\) axis and the BLEU score (including brevity penalty against the reference length) on the \(y\) axis. At each point in time, the system may add to the consensus translation, changing the precision (Figure 3). Like an ROC curve, a good system will be high and to the left, optimizing the area under the curve: the ideal system would produce points as high as possible immediately. A translation which is, in the end, accurate, but which is less expeditious, would accrue its score more slowly but outperform a similarly expeditious system which nevertheless translates poorly.

An idealized psychic system achieves this, claiming all of the area under the curve, as it would have a perfect translation instantly, having no need of even waiting for future input.\(^4\)

A batch system has only a narrow (but tall) sliver to the right, since it translates nothing until all of the words are observed.

Formally, let \(Q\) be the score function for a partial translation, \(x\) the sequentially revealed source words \(x_1, x_2, \ldots, x_T\) from time step 1 to \(T\), and \(y\) the partial translations \(y_1, y_2, \ldots, y_T\), where \(T\) is the length of the source language input. Each incremental translation \(y_t\) has a BLEU-n score with respect to a reference \(r\). We apply the usual BLEU brevity penalty to all the incremental translations (initially empty) to

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\(^4\) One could reasonably argue that this is not ideal: a fluid conversation requires the prosody and timing between source and target to match exactly. Thus, a psychic system would provide too much information too quickly, making information exchange unnatural. However, we take the information-centric approach: more information faster is better.
<table>
<thead>
<tr>
<th>Pos</th>
<th>Input</th>
<th>Intermediate</th>
<th>Consensus</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Er</td>
<td>He</td>
<td>He</td>
</tr>
<tr>
<td>3</td>
<td>Er wurde</td>
<td>It was designed</td>
<td>He was designed</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Er wurde</td>
<td>It was renovated</td>
<td>He was renovated</td>
</tr>
</tbody>
</table>

Table 1: How intermediate translations are combined into a consensus translation. Incorrect translations (e.g., “he” for an inanimate object in position 3) and incorrect predictions (e.g., incorrectly predicting the verb \textit{gestaltet} in position 5) are kept in the consensus translation. When no translation is made, the consensus translation remains static.

![Source Sentence Diagram](image)

Figure 3: Comparison of \textsc{bleu} (the area under the curve given by Equation 1) for an impossible psychic system, a traditional batch system, a monotone (German word order) system, and our prediction-based system. By correctly predicting the verb “gegangen” (to go), we achieve a better overall translation more quickly.

We multiply the final \textsc{bleu} score by $T$ to ensure good final translations in learned systems to compensate for the implicit bias toward low latency.\(^5\)

4 Predicting Verbs and Next Words

The \texttt{next} and \texttt{verb} actions depend on predictions of the sentence’s next word and final verb; this section describes our process for predicting verbs and next words given a partial source language sentence.

The prediction of the next word in the source language sentence is modeled with a left-to-right language model. This is (naively) analogous to how a human translator might use his own “language model” to guess upcoming words to gain some speed by completing, for example, collocations before they are uttered. We use a simple bigram language model for next-word prediction. We use Heafield et al. (2013).

For verb prediction, we use a generative model that combines the prior probability of a particular verb $v$, $p(v)$, with the likelihood of the source context at time $t$ given that verb (namely, $p(x_{1:t} | v)$), as estimated by a smoothed Kneser-Ney language model (Kneser and Ney, 1995). We use Pauls and Klein (2011). The prior probability $p(v)$ is estimated by simple relative frequency estimation. The context, $x_{1:t}$, consists of all words observed. We model $p(x_{1:t} | v)$ with verb-specific $n$-gram language models. The predicted verb $v(t)$ at

\[^5\text{One could replace } T \text{ with a parameter, } \beta, \text{ to bias towards different kinds of simultaneous translations. As } \beta \rightarrow \infty, \text{ we recover batch translation.}\]
time $t$ is then:

$$
\arg \max_v p(v) \prod_{i=1}^{t} p(x_i | v, x_{i-n+1:i-1}) \tag{2}
$$

where $x_{i-n+1:i-1}$ is the $n-1$-gram context. To narrow the search space, we consider only the 100 most frequent final verbs, where a “final verb” is defined as the sentence-final sequence of verbs and particles as detected by a German part-of-speech tagger (Toutanova et al., 2003).

5 Learning a Policy

We have a framework (states and actions) for simultaneous machine translation and a metric for assessing simultaneous translations. We now describe the use of reinforcement learning to learn a policy, a mapping from states to actions, to maximize LBLEU reward.

We use imitation learning (Abbeel and Ng, 2004; Syed et al., 2008): given an optimal sequence of actions, learn a generalized policy that maps states to actions. This can be viewed as a cost-sensitive classification (Langford and Zadrozny, 2005): a state is represented as a feature vector, the loss corresponds to the quality of the action, and the output of the classifier is the action that should be taken in that state.

In this section, we explain each of these components: generating an optimal policy, representing states through features, and learning a policy that can generalize to new sentences.

5.1 Optimal Policies

Because we will eventually learn policies via a classifier, we must provide training examples to our classifier. These training examples come from an oracle policy $\pi^*$ that demonstrates the optimal sequence—i.e., with maximal LBLEU score—of actions for each sequence. Using dynamic programming, we can determine such actions for a fixed translation model. From this oracle policy, we generate training examples for a supervised classifier.

State $s_t$ is represented as a tuple of the observed words $x_{1:t}$, predicted verb $v(t)$, and the predicted word $n^{(t)}_{t+1}$. We represent the state to a classifier as a feature vector $\phi(x_{1:t}, n^{(t)}_{t+1}, v(t))$.

5.2 Feature Representation

We want a feature representation that will allow a classifier to generalize beyond the specific examples on which it is trained. We use several general classes of features: features that describe the input, features that describe the quality of the predictions.

Input We include both a bag of words representation of the input sentence as well as the most recent word and bigram to model word-specific effects. We also use a feature that encodes the length of the source sentence.

Prediction We include the identity of the predicted verb and next word as well as their respective probabilities under the language models that generate the predictions. If the model is confident in the prediction, the classifier can learn to more so trust the predictions.

Translation In addition to the state, we include features derived from the possible actions the system might take. This includes a bag of words representation of the target sentence, the score of the translation (decreasing the score is undesirable), the score of the current consensus translation, and the difference between the current and potential translation scores.

5.3 Policy Learning

Our goal is to learn a classifier that can accurately mimic the oracle’s choices on previously unseen data. However, at test time, when we run the learned policy classifier, the learned policy’s state distribution may deviate from the optimal policy’s state distribution due to imperfect imitation, arriving in states not on the oracle’s path. To address this, we use SEARN (Daumé III et al., 2009), an iterative imitation learning algorithm. We learn from the optimal policy in the first iteration, as in standard supervised learning; in the following iterations, we run an interpolated policy:

$$
\pi_{k+1} = \epsilon \pi_k + (1 - \epsilon) \pi^*, \tag{3}
$$
with \( k \) as the iteration number and \( \epsilon \) the mixing probability. We collect examples by asking the policy to label states on its path. The interpolated policy will execute the optimal action with probability \( 1 - \epsilon \) and the learned policy’s action with probability \( \epsilon \). In the first iteration, we have \( \pi_0 = \pi^\ast \).

Mixing in the learned policy allows the learned policy to slowly change from the oracle policy. As it trains on these no-longer-perfect state trajectories, the state distribution at test time will be more consistent with the states used in training.

Search learns the policy by training a cost-sensitive classifier. Besides providing the optimal action, the oracle must also assign a cost to an action

\[
C(a_t, x_t) \equiv Q(x_t, \pi^\ast(x_t)) - Q(x_t, a_t(x_t)),
\]

where \( a_t(x_t) \) represents the translation outcome of taking action \( a_t \). The cost is the regret of not taking the optimal action.

6 Translation System

The focus of this work is to show that given an effective batch translation system and predictions, we can learn a policy that will turn this into a simultaneous translation system. Thus, to separate translation errors from policy errors, we perform experiments with a nearly optimal translation system we call an omniscient translator.

More realistic translation systems will naturally lower the objective function, often in ways that make it difficult to show that we can effectively predict the verbs in verb-final source languages. For instance, German to English translation systems often drop the verb; thus, predicting a verb that will be ignored by the translation system will not be effective.

The omniscient translator translates a source sentence correctly once it has been fed the appropriate source words as input. There are two edge cases: empty input yields an empty output, while a complete, correct source sentence returns the correct, complete translation. Intermediate cases—where the input is either incomplete or incorrect—require using an alignment. The omniscient translator assumes as input a reference translation \( r \), a partial source language input \( x_{1:t} \) and a corresponding partial output \( y \). In addition, the omniscient translator assumes access to an alignment between \( r \) and \( x \). In practice, we use the HMM aligner (Vogel et al., 1996; Och and Ney, 2003).

We first consider incomplete but correct inputs. Let \( y = \tau(x_{1:t}) \) be the translator’s output given a partial source input \( x_{1:t} \) with translation \( y \). Then, \( \tau(x_{1:t}) \) produces all target words \( y_j \) if there is a source word \( x_i \) in the input aligned to those words—i.e., \((i, j) \in a_{x,y}\)—and all preceding target words can be translated. (That translations must be contiguous is a natural requirement for human recipients of translations). In the case where \( y_j \) is unaligned, the closest aligned target word to \( y_j \) that has a corresponding alignment entry is aligned to \( x_i \); then, if \( x_i \) is present in the input, \( y_j \) appears in the output. Thus, our omniscient translation system will always produce the correct output given the correct input.

However, our learned policy can make wrong predictions, which can produce partial translations \( y \) that do not match the reference. In this event, an incorrect source word \( \tilde{x}_i \) produces incorrect target words \( \tilde{y}_j \), for all \( j: (i, j) \in a_{x,y} \). These \( \tilde{y}_j \) are sampled from the IBM Model 1 lexical probability table multiplied by the source language model \( \bar{y}_j \sim \text{Mult}(\theta_{x})p_{LM}(\tilde{x}) \).

Thus, even if we predict the correct verb using a next word action, it will be in the wrong position and thus generate a translation from the lexical probabilities. Since translations based on Model 1 probabilities are generally inaccurate, the omniscient translator will do very well when given correct input but will produce very poor translations otherwise.

7 Experiments

In this section, we describe our experimental framework and results from our experiments. From aligned data, we derive an omniscient translator. We use monolingual data in the source language to train the verb predictor and the next word predictor. From these features, we compute an optimal predictor from which we train a learned policy.

\[8\]If a policy chooses an incorrect unaligned word, it has no effect on the output. Alignments are position-specific, so “wrong” refers to position and type.
7.1 Data sets
For translation model and policy training, we use data from the German-English Parallel “de-news” corpus of radio broadcast news (Koehn, 2000), which we lower-cased and stripped of punctuation. A total of 48,601 sentence pairs are randomly selected for building our system. Of these, we use 70% (34,528 pairs) for training word alignments.

For training the translation policy, we restrict ourselves to sentences that end with one of the 100 most frequent verbs (see Section 4). This results in a data set of 4401 training sentences and 1832 test sentences from the de-news data. We did this to narrow the search space (from thousands of possible, but mostly very infrequent, verbs).

We used 1 million words of news text from the Leipzig Wortschatz (Quasthoff et al., 2006) German corpus to train 5-gram language models to predict a verb from the 100 most frequent verbs.

For next-word prediction, we use the 18,345 most frequent German bigrams from the training set to provide a set of candidates in a language model trained on the same set. We use frequent bigrams to reduce the computational cost of finding the completion probability of the next word.

7.2 Training Policies
In each iteration of SEARN, we learn a multi-class classifier to implement the policy. The specific learning algorithm we use is AROW (Crammer et al., 2013). In the complete version of SEARN, the cost of each action is calculated as the highest expected reward starting at the current state minus the actual roll-out reward. However, computing the full roll-out reward is computationally very expensive. We thus use a surrogate binary cost: if the predicted action is the same as the optimal action, the cost is 0; otherwise, the cost is 1. We then run SEARN for five iterations. Results on the development data indicate that continuing for more iterations yields no benefit.

7.3 Policy Rewards on Test Set
In Figure 4, we show performance of the optimal policy vis-à-vis the learned policy, as well as the two baseline policies: the batch policy and the monotone policy. The x-axis is the percentage of the source sentence seen by the model, and the y-axis is a smoothed average of the reward as a function of the percentage of the sentence revealed. The monotone policy’s performance is close to the optimal policy for the first half of the sentence, as German and English have similar word order, though they diverge toward the end. Our learned policy outperforms the monotone policy toward the end and of course outperforms the batch policy throughout the sentence.

Figure 5 shows counts of actions taken by each policy. The batch policy always commits at the end. The monotone policy commits at each position. Our learned policy has an action distribution similar to that of the optimal
7.4 What Policies Do

Figure 6: An imperfect execution of a learned policy. Despite choosing the wrong verb “gezeigt” (showed) instead of “vorgestellt” (presented), the translation retains the meaning.

Figure 6 shows a policy that, predicting incorrectly, still produces sensible output. The policy correctly intuits that the person discussed is Angela Merkel, who was the environmental minister at the time, but the policy uses an incorrectly predicted verb. Because of our poor translation model (Section 6), it renders this word as “shown”, which is a poor translation. However, it is still comprehensible, and the overall policy is similar to what a human would do: intuit the subject of the sentence from early clues and use a more general verb to stand in for a more specific one.

8 Related Work

Just as MT was revolutionized by statistical learning, we suspect that simultaneous MT will similarly benefit from this paradigm, both from a systematic system for simultaneous translation and from a framework for learning how to incorporate predictions.

Simultaneous translation has been dominated by rule and parse-based approaches (Mima et al., 1998a; Ryu et al., 2006). In contrast, although Verbmobil (Wahlster, 2000) performs incremental translation using a statistical MT module, its incremental decision-making module is rule-based. Other recent approaches in speech-based systems focus on waiting until a pause to translate (Sakamoto et al., 2013) or using word alignments (Ryu et al., 2012) between languages to determine optimal translation units.

Unlike our work, which focuses on prediction and learning, previous strategies for dealing with sov-to-svo translation use rule-based methods (Mima et al., 1998b) (for instance, passivization) to buy time for the translator to hear more information in a spoken context—or use phrase table and reordering probabilities to decide where to translate with less delay (Fujita et al., 2013). Oda et al. (2014) is the most similar to our work on the translation side. They frame word segmentation as an optimization problem, using a greedy search and dynamic programming to find segmentation strategies that maximize an evaluation measure. However, unlike our work, the direction of translation was from from svo to svo, obviating the need for verb prediction. Simultaneous translation is more straightforward for languages with compatible word orders, such as English and Spanish (Fügen, 2008).

To our knowledge, the only attempt to specifically predict verbs or any late-occurring terms (Matsubara et al., 2000) uses pattern matching on what would today be considered a small data set to predict English verbs for Japanese to English simultaneous MT.

Incorporating verb predictions into the translation process is a significant component of our framework, though n-gram models strongly prefer highly frequent verbs. Verb prediction might be improved by applying the insights from psycholinguistics. Ferreira (2000) argues that verb lemmas are required early in sentence production—prior to the first noun phrase argument—and that multiple possible syntactic hypotheses are maintained in parallel as the sentence is produced. Schriefers et al. (1998) argues that, in simple German sentences, non-initial verbs do not need lemma planning at all. Momma et al. (2014), investigating these prior claims, argues that the abstract relationship between the internal arguments and verbs triggers selective verb planning.

9 Conclusion and Future Work

Creating an effective simultaneous translation system for sov to svo languages requires not only translating partial sentences, but also effectively predicting a sentence’s verb. Both
elements of the system require substantial refinement before they are usable in a real-world system.

Replacing our idealized translation system is the most challenging and most important next step. Supporting multiple translation hypotheses and incremental decoding (Sankaran et al., 2010) would improve both the efficiency and effectiveness of our system. Using data from human translators (Shimizu et al., 2014) could also add richer strategies for simultaneous translation: passive constructions, reordering, etc.

Verb prediction also can be substantially improved both in its scope in the system and how we predict verbs. Verb-final languages also often place verbs at the end of clauses, and also predicting these verbs would improve simultaneous translation, enabling its effective application to a wider range of sentences. Instead predicting an exact verb early (which is very difficult), predicting a semantically close or a more general verb might yield interpretable translations.

A natural next step is expanding this work to other languages, such as Japanese, which not only has SOV word order but also requires tokenization and morphological analysis, perhaps requiring sub-word prediction.

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